PTO/SB/21 (07-06)
06. OMB 0651-0034

Approved for use through 09/30/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAI FORM		Application Number	er	10/776,470	; Confirmation 3576
FORM	_	Filing Date		2/11/2004	
FORIVI		First Named Inven	tor :	McBride	
		Art Unit		1616	
(to be used for all correspondence after	initial filing)	Examiner Name	:		
otal Number of Pages in This Submiss	ion 10	Attorney Docket N	umber	329532	
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Information Disclosure Statement		ber of CD(s) ndscape Table on CD		10 Foreign patent references; 50 non-patent literature documents	
Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR1.52 or 1.53	underpayme	is hereby authorized nt of fee(s) under 37 (ount No. 06-0029.	to charge CFR 1.16 a	any additiona and 1.17; credi	If fee(s) or any t any overpayments to the
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Printed Name	Richard A. Naka	ashima			
Date	10/2/	[′] 0L	Reg. No.	42,023	
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Typed or printed name Laura S.	Melibiom	1		Date	10-2-010

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

MCBRIDE

Application No.: 10/776,470

Filed: 2/11/2004

For: THERAPEUTIC AND DIAGNOSTIC

CONJUGATES FOR USE WITH MULTISPECIFIC ANTIBODIES

Examiner:

Art Unit: 1616

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §§ 1.97(b)(3) and 1.98)

MAILSTOP: AMENDMENT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Examiner is requested to consider the references noted on the enclosed Form PTO/SB/08A (Substitute for form 1449A/PTO) during examination of the above-identified application. These references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting these references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality.

Should any additional fees and/or any underpayment of fee(s); credit any overpayments be deemed necessary, such fees may be charged to Deposit Account No. 06-0029.

Attorney Docket No. 329532

Should the examiner have any questions concerning the relevance of any reference cited in this disclosure, please contact the undersigned attorney.

Dated: October _______, 2006

Respectfully submitted,

Richard A. Nakashima Registration No. 42,023

Customer No. 35657

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

	Complete if Known
Application Number	10/776,470; Confirmation 3576
Filing Date	2/11/2004
First Named Inventor	McBride
Art Unit	1616
Examiner Name	
Attorney Docket Number	329532

(Use as many sheets as necessary)

Sheet 1 of 8 Attorney Docket Number 32953

			U.S. PATENT D	OCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevan
Initials *	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
	1	US-5,274,076	12/12/1993	Barbet et al	
	2	US- 6,096,289	8/2000	Goldenberg	
	3	US- 5,683,694	11/4/1997	Bagshawe et al.	
	4	US- 5,693,762	12-02-1997	Queen et al.	
	5	US- 5,837,242	11-17-1998	Holliger et al.	
	6	US- 6,121,424	9-19-2000	Whitlow et al.	
	7	US- 2002/0006379	1-17-2002	Hansen et al.	
<u> </u>	8	US- 6,126,916	10/3/2000	McBride	
	9	US- 6,077,499	6/20/2000	Griffiths	
	10	US- 6,010,680	1/4/2000	Govindan et al.	
	11	US- 5,959,083	9/28/1999	Bosslet et al.	
	12	US- 5,776,095	7/7/1998	Goldenberg	
	13	US- 5,776,094	7/7/1998	Goldenberg	
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	15	US- 5,772,981	6/30/1998	Govindan et al.	
	16	US- 5,753,206	5/19/1998	McBride et al.	
	17	US- 5,746,996	5/5/1998	Govindan et al.	
	18	US- 5,697,902	12/16/1997	Goldenberg	
	19	US- 5,591,828	7/1/1997	Bosslet et al.	
	20	US- 5,328,679	7/12/1994	Hansen et al.	

		FOREIGN PA	TENT DOCU	MENTS		
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶
	21	WO 99/66951 A2	12/29/199 9	Hansen		
	22	WO 00/34317	6/15/2000	Carr		
	23	WO 9808875	3/5/1998	Bohlen		
	24	WO 9604313	2/15/1996	Goldenberg		
	25	EP 0623675	9/11/1994	Ahrweiler		
	26	IE 921782	12/16/199 2	Bosslet		
	27	EP 0511011A	10/28/199 2	Randle		
	28	EP 0419387	11/20/199 6	Barbet		
	29	EP 0517024 A2	12/9/1992	Bosslet		

Examiner Signature	Date Considered
	<u> </u>

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 10/776,470; Confirmation 3576

 Filing Date
 2/11/2004

 First Named Inventor
 McBride

 Art Unit
 1616

 Examiner Name
 329532

(Use as many sheets as necessary)

Sheet 2 of 8 Attorney Docket Number

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	30	BOISFERON, Hillairet de et al., "Enhanced Targeting Specificity to Tumor Cells by Simultaneous Recognition of Two Antigens" Bioconjugate Chem. 2000 11, 252-460	
	31	PLUCKTHUN et al., "New protein engineering approaches to Multivalent and bispecific antibody fragments," Immunotechnology 3 (1997) 83-105	
	32	PACK et al., "Tetravalent Miniantibodies with High Avidity Assembling in Escherichia Coli," J. Mol. Biol. (1995) 246, 28-34	
	33	SHARKEY et al., "A Universal Pretargeting System for Cancer Detection and Therapy Using Bispecific Antibody," Cancer Research 63, 354-363 (Jan. 15, 2003)	
	34	KARACAY et al., "Studies on a Humanized anti-CEA x murine anti-(In- DTPA) bispecific antibody construct for radioimmunotherapy of CEA-positive tumors," Proceedings of the American Association for Cancer Research Annual Meeting, Vol. 40, p 644 (March 1999).	
	35	KARACAY et al., "Pretargeting studies with a humanized anti-CEA X Murine anti-(In- DTPA) bispecific antibody construct and Tc-99m/Re-188 labeled peptide," Journal of Nuclear Medicine, Vo. 40, No. 5 Suppl., p. 225 (May 1999).	
	36	GAUTHEROT et al., "Delivery of Therapeutic doses of Radioiodine using Bispecific antibody-targeted bivalent Hapatens," Journal of Nuclear Medicine, Vol. 39 (11), pp 1937-43 (Nov. 1998)	
	37	BODERE et al. "Phase I/II trial of two-step radioimmunotherapy in medullary thyroid cancer (MTC) using bispecific anti-CEA/anti-DTPA-in antibody and iodine-131-labeled bivalent hapten," Journal of Nuclear Medicine, Vol. 39, No. 5 Suppl., p 246 (May 1998)	
	38	BARDIES et al. "Bispecific antibody and iodine-131-labeled bivalent hapten dosimetry in patients with medullary thyroid or small-cell lung cancer," Journal of Nuclear Medicine, Vol. 37, pp 1853-1859 (Nov. 1996)	
	39	KRAEBER-BODERE et al., "Bispecific antibody and bivalent hapten radioimmunotherapy in CEA-producing medullary tthyroid cancer xenograft," Journal of Nuclear Medicine, Vol. 40 (1), pp. 198-24 (Jan. 1999)	
	40	HOSONO et al., "Biodistriution and dosimetric study in medullary thyroid cancer xenograft using bispecific antibody and iodine-125-labeled bivalent hapten." Journal of Nuclear Medicine, Vol. 39 (9), pp 1608-13 (Sept. 1998)	

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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

	Complete if Known
Application Number	10/776,470; confirmation 3576
Filing Date	2/11/2004
First Named Inventor	McBride
Art Unit	1616
Examiner Name	
Attorney Docket Number	329532

			U.S. PATENT	OCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant
Initials *	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
	41	US-5,274,076	12/28/1993	Barbet et al.	
	42	US- 5,128,119	7/7/1992	Griffiths	
	43	US- 5,101,827	4/7/1992	Goldenberg	
	44	US- 4,735,210	4/5/1988	Goldenberg	
	45	US- 4,468457	8/28/1984	Goldenberg et al.	
	46	US- 4,737,453	4/12/1988	Primus	
	47	US- 4,792,521	12/20/1988	Shochat	
	48	US- 4,971,792	11/20/1990	Steplewski et al.	
	49	US- 5,078,998	1/7/1992	Bevan et al.	
*****	50	US- 5,183,756	2/2/1993	Schlom	
·	51	US- 5,25,541	7/6/1993	Hackett et al.	
	52	US- 5,469,854	11/28/1995	Unger et al.	
*****	53	US- 5,716,595	2/10/1998	Goldenberg	
	54	US- 5,837,243	11/17/1998	Deo et al.	
	55	US- 5,851,527	12-22-1998	Hansen	
	56	US- 4,863,713	9-5-1989	Goodwin et al.	
	57	US- 5,502,037	3-26-1996	Kondratyer	
	58	US- 5,534,756	7-9-1996	Huston, et al.	
	59	US- 6,187,284	2-13-2001	Griffiths	
	60	US- 5,503,987	4-2-1996	Wagner	

		FOREIGN PA	TENT DOCU	MENTS		
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	Τ ⁶
	61	EP 0263046	4/6/1988	Barbet		
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Examiner Signature	Date Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number 10/776,470; Confirmation 3576 Filing Date 2/11/2004 First Named Inventor McBride Art Unit 1616

(Use as many sheets as necessary)

Sheet

Examiner Name Attorney Docket Number 329532

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	62	KRANENBORG et al., "Development and characterization of anti-renal cell carcinoma x antichelate bispecific monoclonal antibodies for two-phase targeting of renal cell carcinoma," Cancer Research, Vo. 55 (23 Wuppl.), pp 5864S-5867S (Dec. 1, 1995)	
	63	KRANENBORG et al., "Two-Step radio-immunotargeting of renal-cell carcinoma xenografts in nude mide with anti-renal-cell-carcinoma X anti-DTPA bispecific monoclonal antibodies," International Journal of Cancer, Vol. 75 (1), pp 74-80 (Jan. 5, 1998)	
	64	GAUTHEROT et al., "Therapy for colon carcinoma xenografts with bispecific antibody-targeted, iodine-131-labeled bivalent hapten," Cancer, Vol. 80, No. Supp. 12, pp 2618-2623 (Dec. 15, 1997)	
	65	BOSSLET et al., "Generation of bispecific monoclonal antibodies for two phase radioimmunotherapy," British Journal of Cancer, Vo. 63/5, pp 681-686 (1991)	
	66	MANETTI et al. "Intracellular uptake and catabolism of anti-IgM antibodies and bi-specific antibody-targeted hapten by B-lymphoma cells," Int. J. Cancer, Vol. 63(2), pp 250-6 (1995)	
	67	BARBET et al., ""Radioimmunotherapy of LS174T colon arcinoma in nude mice using an iodine-131-labeled bivalent hapten combined with an anti-CEAX anti-indium-DTPA bispecific antibody," Tumor Biology, Vol. 18, No. Suppl. 2, p 31 (Sept. 1997)	
,	68	MCGUINNESS et al, "Phage diabody repertoires for selection of large number of bispecific antibody fragments," Nature Biotechnology, 14:1149-1154 (1996)	
	69	ALT, et al., "Novel tetravalent and bispecific IgG-like antibody molecules combining single chain diabodies with the immunoglobulin gamma-1 or CH3 region," FEBS LETT, 454: 90-94 (1999).	
;	70	OLAFSEN et al., "IgM secretory tailpiece drives multimerisation of bivalent scFv fragments in eukaryotic Cells," Immunotechnology, 4(2):141-153 (1998)	
	71	KIPRIYANOV et al., "Bispecific tandem diabody for tumor therapy with improved antigen binding and pharmacokinetics," J. Mol. Biol., 293(1):41-56 (1999).	
	72	HAWKINS, et al., "Delivery of Radionuclides to Pretargeted Monoclonal, Antibodies Using Dihydrofotate Reductase and Methotrexagte in an Affinity System," Cancer Research, Vol. 53, pp 2368-2373, May 1993	

Examiner	Date	
Signature	Considered	
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TRADEMA Substitute for form 1449A/PTO Complete if Known Application Number 10/776,470; Confirmation 3576 INFORMATION DISCLOSURE Filing Date 2/11/2004 STATEMENT BY APPLICANT First Named Inventor McBride Art Unit 1616 (Use as many sheets as necessary) Examiner Name Sheet 5 Attorney Docket Number 329532

	U.S. PATENT DOCUMENTS					
Exáminer	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant	
Initials *	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear	
	73	US-5,534,254	7-9-1996	Huston		
	74	US- 4,818,709	4-4-1989	Primus		
	75	US- 7,074,405	7-11-2006	Hansen		
	76	US- 6,962,702	11-8-2005	Hansen		
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STA	TEMENT BY	APPLICANT	First Named Inventor	McBride	
			Art Unit	1616	
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		NON PATENT LITERATURE DOCUMENTS	
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	77	GOODWIN, et al., "Pre-Targeted Immunoscintigraphy of Murine Tumors with Indium-111-Labeled Bifunctional Haptens," J. Nucl, Med., Vol. 29, pp 226-234, 1998	
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Complete if Known Application Number 10/776,470; Confirmation 3576 Filing Date 2/11/2004 First Named Inventor McBride Art Unit 1616 Examiner Name 329532

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